

TROPICAL GEOMETRY AND THE MOTIVIC NEARBY FIBER

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ABSTRACT. We construct motivic invariants of a subvariety of an algebraic torus from its tropicalization and initial degenerations. More specifically, we introduce an invariant of a compactification of such a variety called the “tropical motivic nearby fiber.” This invariant specializes in the schön case to the Hodge-Deligne polynomial of the limit mixed Hodge structure of a corresponding degeneration. We give purely combinatorial expressions for this Hodge-Deligne polynomial in the cases of schön hypersurfaces and matroidal tropical varieties. We also deduce a formula for the Euler characteristic of a general fiber of the degeneration.

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1. INTRODUCTION

Let \mathbb{K} be a field with a non-Archimedean discrete valuation $v : \mathbb{K}^* \rightarrow \mathbb{Z}$ with valuation ring \mathcal{O} and residue field the complex numbers \mathbb{C} . Tropicalization is a procedure which assigns to a d -dimensional subvariety X° of the torus $(\mathbb{K}^*)^n$, a polyhedral complex $\text{Trop}(X^\circ) \subset \mathbb{R}^n$ of pure dimension d , such that the rational points of $\text{Trop}(X^\circ)$ parametrize the ‘interesting’ initial degenerations in $_w X^\circ$ of X° . It is a natural question to ask which geometric properties of X° can be recovered from the combinatorial data $\text{Trop}(X^\circ)$, together with the algebraic geometric data of the initial degenerations.

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Consider a pair (X°, Σ) , where Σ is a polyhedral structure on $\text{Trop}(X^\circ)$ that can be extended to a polyhedral subdivision of \mathbb{R}^n and which is *tropical* in the sense of Tevelev (see Definition 2.2). We introduce a new invariant $\psi_{(X^\circ, \Delta)}$, called the **tropical motivic nearby fiber**, which lies in the Grothendieck ring $K_0(\text{Var}_{\mathbb{C}})$ of complex algebraic varieties. More precisely, $K_0(\text{Var}_{\mathbb{C}})$ is the free \mathbb{Z} -module generated by isomorphism classes $[V]$ of complex varieties V , modulo the relation $[V] = [U] + [V \setminus U]$, whenever U is an open subvariety of V . If we set $\mathbb{L} := [\mathbb{A}^1]$, then the tropical motivic nearby fiber is defined by

$$\psi_{(X^\circ, \Delta)} = \sum_{F \in \Sigma} [X_F^\circ] (1 - \mathbb{L})^{\dim F - \dim \tau_F},$$

where $\text{in}_w X^\circ \cong X_F^\circ \times (\mathbb{C}^*)^{\dim F}$ for any w in the relative interior of F , and τ_F denotes the recession cone of F . Our first main result (Theorem 3.6) states that the tropical motivic nearby fiber is independent of the choice of polyhedral structure Σ , provided that the corresponding recession fan $\Delta = \{\tau_F \mid F \in \Sigma\}$ is fixed.

For the remainder of the introduction, we will assume that X° is *schön* in the sense of Tevelev (see Definition 2.5), and that the recession fan Δ is unimodular. Let \mathcal{O} be the ring of germs of analytic functions in \mathbb{C} in a neighborhood of the origin, with the valuation equal to the vanishing order of a function at the origin. Then X° induces a family of subvarieties of $(\mathbb{C}^*)^n$ over a punctured disc \mathbb{D}^* about the origin, and the recession fan Δ induces a compactification $X^\circ \subseteq X$, and a smooth, proper map $f : X \rightarrow \mathbb{D}^*$ (see Section 5). The **motivic nearby fiber** $\psi_f \in K_0(\text{Var}_{\mathbb{C}})$ of f was introduced by Denef and Loeser [12], and encodes information about the variation of Hodge structure of a fiber X_{gen} of f . In fact, the motivic nearby fiber was introduced as a specialization of an invariant of a suitable extension of f to a family over \mathbb{D} , called the motivic zeta function, which is defined using motivic integration. Our second main result is the following.

Theorem (Theorem 5.1). *With the notation above, if X° is schön and Δ is a unimodular recession fan associated to the tropical variety $\text{Trop}(X^\circ)$, then $\psi_{(X^\circ, \Delta)} = \psi_f$.*

This result has a number of Hodge-theoretic and topological consequences. By the results of Steenbrink and others [38], the cohomology of a fixed non-zero fiber X_{gen} of f carries a **limit mixed Hodge structure**. In particular, the associated limit Hodge numbers $h^{p,q}(H^m(X_\infty))$ refine the Hodge numbers $h^{p,m-p}(X_{\text{gen}})$ of X_{gen} (5), and record the sizes of the Jordan blocks of the logarithm of the monodromy operator on the cohomology of X_{gen} . We may consider the ring homomorphism

$$E : K_0(\text{Var}_{\mathbb{C}}) \rightarrow \mathbb{Z}[u, v], \quad E([V]) = E(V),$$

which takes a complex variety V to its Hodge-Deligne polynomial $E(V)$, and satisfies $E(\mathbb{L}) = uv$ (see Section 4). Composition with the homomorphism $\mathbb{Z}[u, v] \rightarrow \mathbb{Z}$ obtained by setting $u = v = 1$, gives the homomorphism

$$e : K_0(\text{Var}_{\mathbb{C}}) \rightarrow \mathbb{Z},$$

which takes a complex variety V to its topological Euler characteristic $e(V)$. With the notation of the theorem above, Corollary 5.2 states that the tropical motivic nearby fiber specializes to

$$E(\psi_{(X^\circ, \Delta)}) = E(X_\infty; u, v) := \sum_{p,q} \left(\sum_m (-1)^m h^{p,q}(H^m(X_\infty)) \right) u^p v^q,$$

and the topological Euler characteristic of X_{gen} is given by

$$e(X_{\text{gen}}) = e(\psi_{(X^\circ, \Delta)}) = \sum_{\substack{F \in \Sigma \\ \dim F = \dim \tau_F}} e(X_F^\circ).$$

The parameterizing complex Γ_{X° of $\text{Trop}(X^\circ)$ is a polyhedral complex which was introduced in [16] and admits a natural map $p : \Gamma_{X^\circ} \rightarrow \text{Trop}(X^\circ)$ (see Section 2). Continuing with the notation of the theorem above, in Corollary 5.3, we show that the Betti numbers of Γ_{X° satisfy

$$b_m(\Gamma_{X^\circ}) \leq \min_{p+q=m} h^{p,q}(X_{\text{gen}}).$$

This strengthens the result of Helm and the first named author [16, Corollary 5.8] that

$$b_m(\Gamma_{X^\circ}) \leq \frac{b_m(X_{\text{gen}})}{m+1}.$$

We refer the reader to Corollary 5.4 for a further upper bound on $b_d(\Gamma_{X^\circ})$.

Finally, to use the tropical motivic nearby fiber to compute the polynomial $E(X_\infty; u, v)$, one needs to know the Hodge-Deligne polynomials of the complex varieties X_F° . In addition to the easy case where X° is a curve, we explore two cases where this is possible: when X° is a schön hypersurface and when $\text{Trop}(X^\circ)$ is a smooth tropical variety.

In the case when X° is a hypersurface, the varieties X_F° are schön hypersurfaces in complex tori. Their Hodge-Deligne numbers are determined by their Newton polytopes by a result of Danilov and Khovanskii [10], and hence one obtains a combinatorial formula for $E(X_\infty; u, v)$. In fact, the tropical variety is dual to a regular, lattice polyhedral decomposition \mathcal{T} of the Newton polytope P of X° in \mathbb{R}^{d+1} , and one obtains combinatorial formulas for the limit Hodge numbers $h^{p,q}(H^m(X_\infty))$ in terms of \mathcal{T} (Corollary 6.1). In particular, Corollary 6.5 states that for $p > 0$,

$$h^{p,0}(H^d(X_\infty)) = \sum_{\substack{Q \in \mathcal{T}^{(0)} \\ \dim Q = p+1}} \#(\text{Int}(Q) \cap \mathbb{Z}^n),$$

where $\mathcal{T}^{(0)}$ denotes the faces of \mathcal{T} whose relative interior lies in the relative interior of P . Also,

$$h^{0,0}(H^d(X_\infty)) = \sum_{\substack{Q \in \mathcal{T}^{(0)} \\ \dim Q \leq 1}} \#(\text{Int}(Q) \cap \mathbb{Z}^n).$$

Matroidal tropical varieties are polyhedral complexes locally modeled on the matroid fans of Ardila and Klivans [1]. There were originally called smooth tropical varieties by Mikhalkin [22]. If $\text{Trop}(X^\circ)$ is a matroidal tropical variety, then X° is schön. Moreover, the initial degenerations of X° are all intersections of linear subspaces of \mathbb{P}^n with $(\mathbb{C}^*)^n$. Their Hodge-Deligne numbers are determined by the matroid of that linear space due to the work of Orlik and Solomon [27]. Hence we determine a combinatorial formula for the tropical motivic fiber $\psi_{(X^\circ, \Delta)}$ (Corollary 7.12). As an immediate consequence, we deduce the following formula for the Euler characteristic of a general fiber (Corollary 7.13),

$$e(X_{\text{gen}}) = \sum_{\substack{F \in \Sigma \\ \dim(F) = \dim(\tau_F)}} (\chi_{\mathbb{M}_F}(1) + \chi'_{\mathbb{M}_F}(1)),$$

where $\chi_{\mathbb{M}_F}(q)$ denotes the characteristic polynomial of the matroid \mathbb{M}_F associated to the star quotient of F (see Section 7), and $\chi'_{\mathbb{M}_F}(q)$ denotes the derivative of $\chi_{\mathbb{M}_F}(q)$.

We mention some related work. In [14], Gross and Siebert construct a scheme X_0 from certain combinatorial data. If X_0 is embedded in a family \mathcal{X} over \mathcal{O} , they determine the limit mixed Hodge structure in terms of the combinatorial data. In [31], Ruddat gives a spectral sequence for determining the logarithmic Hodge groups of toric log Calabi-Yau spaces of hypersurface type in terms of tropical degeneration data and Jacobian rings. In [16], Helm and the first named author relate the topology of the parameterizing complex Γ_{X° to the monodromy filtration on $H^*(X_{\text{gen}})$ for schön subvarieties $X^\circ \subset (\mathbb{K}^*)^n$.

Notation and conventions. All schemes are over the complex numbers. For us, a variety is a not necessarily irreducible, separated reduced scheme of finite type over \mathbb{C} . Cohomology will be taken with \mathbb{Q} coefficients, with respect to the usual (complex) topology.

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2. TROPICAL GEOMETRY

We review some notions for studying tropical geometry in the non-constant coefficient case. Many of these were developed by Tevelev [39] and Hacking [15] in the constant coefficient case and extended to the non-constant coefficient case by Luxton-Qu [20], Qu [30] and Helm-Katz [16]. Let \mathbb{K} be a field with a non-Archimedean discrete valuation $v : \mathbb{K}^* \rightarrow \mathbb{Z}$. Let \mathcal{O} be its valuation ring with residue field \mathbf{k} . The examples to keep in mind are

- (1) $\mathbb{K} = \mathbb{C}((t))$, $\mathcal{O} = \mathbb{C}[[t]]$, and $\mathbf{k} = \mathbb{C}$,
- (2) $\mathbb{K} = \mathbb{C}(t)$, $\mathcal{O} = \mathbb{C}[t]_{(t)}$, and $\mathbf{k} = \mathbb{C}$,
- (3) \mathcal{O} is the ring of germs of analytic functions in \mathbb{C} in a neighborhood of the origin, \mathbb{K} is its field of fractions, and $\mathbf{k} = \mathbb{C}$.

We suppose for ease of exposition that there is a section of the extension of the valuation $v : \mathbb{K}^* \rightarrow \mathbb{Q}$ given by $\mathbb{Q} \ni w \mapsto t^w \in \mathbb{K}^*$. Let $\mathcal{T} \cong \mathbb{G}_m^n$ be a split n -dimensional torus over \mathcal{O} , and let $T = \mathcal{T} \times_{\mathcal{O}} \mathbb{K}$ be the corresponding torus over \mathbb{K} . Let N be the cocharacter lattice, $N = \text{Hom}(\mathbb{K}^*, T)$ and $N_{\mathbb{R}} = N \otimes \mathbb{R}$. For $w = (w_1, \dots, w_n) \in \mathbb{Q}^n$, write $t^w = (t^{w_1}, \dots, t^{w_n}) \in T$.

Let X° be a subvariety of T and $w \in \frac{1}{M}\mathbb{Z}^n$, let $\mathbb{K}' = \mathbb{K}[[t^{\frac{1}{M}}]]$. Then the initial degeneration, $\text{in}_w X^\circ \subset (\mathbf{k}^*)^n$ is given by

$$\text{in}_w X^\circ = \overline{t^{-w} X^\circ_{\mathbb{K}'}} \times_{\mathcal{O}'} \mathbf{k}$$

where the closure is taken in \mathcal{T} .

Definition 2.1. The tropical variety $\text{Trop}(X^\circ)$ associated to X° is the closure in \mathbb{R}^n of the set of points

$$\{w \in \mathbb{Q}^n \mid \text{in}_w X^\circ \neq \emptyset\}.$$

For $X_{\mathbf{k}}^\circ \subset T_{\mathbf{k}}$, we define the tropicalization of $X_{\mathbf{k}}$ by base-change. Let $\mathbb{K}' = \mathbf{k}((t))$ and $X_{\mathbb{K}'}^\circ = X^\circ \times_{\mathbf{k}} \mathbb{K}' \subset (\mathbb{K}'^*)^n$. Set $\text{Trop}(X_{\mathbf{k}}^\circ) = \text{Trop}(X_{\mathbb{K}'}^\circ)$.

Let Σ be a subcomplex of a rational polyhedral subdivision of \mathbb{R}^n . One can define a toric scheme $\mathbb{P}(\Sigma)$ over \mathcal{O} from Σ . First, let $\tilde{\Sigma}$ be the union of the cones over faces of $\Sigma \times \{1\}$ in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$. This forms a rational polyhedral fan in $\mathbb{R}^n \times \mathbb{R}_{\geq 0}$ by Corollary 3.12 of [8]. Let $\mathbb{P}(\tilde{\Sigma})$ be the corresponding toric variety. The projection $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$ induces a projection $\mathbb{P}(\tilde{\Sigma}) \rightarrow \mathbb{A}^1$. Let $\text{Spec } \mathcal{O} \rightarrow \mathbb{A}^1 = \text{Spec } \mathbb{Z}[u]$ be induced by the universal homomorphism $\mathbb{Z} \rightarrow \mathcal{O}$ and $u \mapsto t$. Then $\mathbb{P}(\Sigma) = \mathbb{P}(\tilde{\Sigma}) \times_{\mathbb{Z}[u]} \mathcal{O}$. Let Δ be the recession fan of Σ , that is the fan given by $\tilde{\Sigma} \cap (N_{\mathbb{R}} \times \{0\})$. The generic fiber of $\mathbb{P}(\Sigma)$ is the toric variety $\mathbb{P}(\Delta)$. For cones $\sigma \in \Delta$, let U_σ be the corresponding torus orbit of $\mathbb{P}(\Delta)$. See [16, Section 2] for a more detailed description of the relevant construction.

Faces $F \in \Sigma$ correspond to torus orbits U_F contained in the central fiber of \mathbb{P} . There is an inclusion reversing correspondence $F \mapsto \overline{U}_F$ between faces and orbit closures. The torus orbits U_F give a decomposition of the central fiber of \mathbb{P} . We define $T_F \subset T_{\mathbf{k}}$ to be the torus fixing U_F pointwise and N_F to be the cocharacter lattice of T_F . Note that $N_F = \text{Span}_{\mathbb{R}}(F - w) \cap N$ where $w \in \text{Int}(F)$.

Let \mathcal{X} denote the closure of X° in $\mathbb{P}(\Sigma)$, and let X be the generic fiber of \mathcal{X} .

Definition 2.2. The pair $(X^\circ, \mathbb{P}(\Sigma))$ is *tropical* if the map

$$m : \mathcal{T} \times_{\mathcal{O}} \mathcal{X} \rightarrow \mathbb{P}(\Sigma)$$

is faithfully flat, and $\mathcal{X} \rightarrow \mathcal{O}$ is proper.

We then have the following, due to Tevelev [39] in the constant coefficient case. In the non-constant coefficient case they can be found in [20].

Proposition 2.3. [20, Proposition 6.8] *If X° is not invariant under any torus in T , then there is a toric scheme $\mathbb{P}(\Sigma)$ such that $(X^\circ, \mathbb{P}(\Sigma))$ is tropical.*

In addition, Σ in the above proposition can be chosen to be a subcomplex of a rational polyhedral subdivision of \mathbb{R}^n . Moreover, tropical pairs are stable under refinement according to the following:

Proposition 2.4. [20, Proposition 6.7] *Suppose $(X^\circ, \mathbb{P}(\Sigma))$ is tropical and $\mathbb{P}(\Sigma') \rightarrow \mathbb{P}(\Sigma)$ is a morphism of toric schemes corresponding to a refinement Σ' of Σ . Then $(X^\circ, \mathbb{P}(\Sigma'))$ is tropical. Moreover, $\mathcal{X}' = \overline{X^\circ} \subset \mathbb{P}(\Sigma')$ is the inverse image of \mathcal{X} .*

If (X°, \mathbb{P}) is tropical, then $|\Sigma| = \text{Trop}(X^\circ)$ by [20, Proposition 6.5], so Σ induces a polyhedral structure on $\text{Trop}(X^\circ)$. The central fiber X_0 of \mathcal{X} can be written as a union of locally closed subschemes labelled by faces of Σ . Let $X_F^\circ = \mathcal{X} \cap U_F$. Let X_F denote the closure of X_F° in \mathcal{X} . For $w \in \text{Int } F$, the initial degeneration, $\text{in}_w X^\circ$ is invariant under the torus T_F . Moreover, there is a non-canonical isomorphism

$$\text{in}_w X^\circ \cong T_F \times X_F^\circ.$$

In this case, $\text{Trop}(\text{in}_w X^\circ)$ is invariant under translation by elements in $(N_F)_\mathbb{R}$. If F is a top-dimensional cell of Σ , then $m(F)$, the *multiplicity* of F is the length of the zero-dimensional scheme $\text{in}_w X^\circ/T_F$. With these multiplicities Σ is a balanced weighted rational polyhedral complex.

There is a natural notion of smoothness called schönness.

Definition 2.5. A subvariety X° of \mathcal{T} is *schön* if there exists a tropical pair (X°, \mathbb{P}) such that the multiplication map

$$m : \mathcal{T} \times_{\mathcal{O}} \mathcal{X} \rightarrow \mathbb{P}$$

is smooth.

Proposition 2.6. (Luxton-Qu) [20, Theorem 6.13] *Let X° be schön. If Σ is any polyhedral complex supported on $\text{Trop}(X^\circ)$, then $(X^\circ, \mathbb{P}(\Sigma))$ is tropical. Furthermore, the multiplication map $m : \mathcal{T} \times_{\mathcal{O}} \mathcal{X} \rightarrow \mathbb{P}(\Sigma)$ is smooth.*

Schönness has a characterization in terms of initial degenerations (ref. [15, Lemma 2.7]).

Proposition 2.7. *The following are equivalent:*

- (1) X° is schön.
- (2) $\text{in}_w X^\circ$ is smooth for all $w \in \text{Trop}(X^\circ)$.

The notions of tropical pairs and schön varieties originated in the work of Tevelev [39] and were extended to the non-constant coefficient case by Luxton-Qu [20]. We can speak of a subvariety $X^\circ \subset (\mathbf{k}^*)^n$ being part of a tropical pair and being schön. Let $\mathbb{P} = \mathbb{P}(\Delta)$ be a toric variety, and let X be the closure of X° in \mathbb{P} . (X°, \mathbb{P}) is said to be tropical if $m : T_{\mathbf{k}} \times X \rightarrow \mathbb{P}$ is faithfully flat and X is proper. If m is smooth, then X° is said to be schön.

The schön condition is especially important when the toric scheme \mathbb{P} is a semi-stable degeneration of toric varieties. One can always refine a toric scheme so that we are in this case by the following proposition:

Proposition 2.8. [16, Proposition 2.3] *Let Σ be a rational polyhedral complex in $N_\mathbb{R}$. There exists an integer d and a subdivision Σ' of $d\Sigma$ such the general fiber of $\mathbb{P}(\Sigma')$ is a smooth toric variety and the special fiber of $\mathbb{P}(\Sigma')$ is a divisor with simple normal crossings. Moreover, if the generic fiber $\mathbb{P}(\Sigma)_\mathbb{K}$ is already smooth, Σ' can be chosen to have the same recession fan as Σ .*

The above proposition can be used to extend a schön variety X° to a scheme \mathcal{X} with simple normal crossings degeneration. Let $(X^\circ, \mathbb{P}(\Sigma))$ be a tropical pair. Choose $\mathbb{P}(\Sigma')$ as above. After making a ramified base-change $\text{Spec } \mathcal{O}[t^{\frac{1}{d}}] \rightarrow \text{Spec } \mathcal{O}$, we have a smooth morphism $m : \mathcal{T} \times_{\mathcal{O}} \mathcal{X} \rightarrow \mathbb{P}(\Sigma')$ where \mathcal{X} is the closure of X° in $\mathbb{P}(\Sigma')$. Consequently, the generic fiber of \mathcal{X} is smooth and the central fiber X_0 is a divisor in \mathcal{X} with simple normal crossings. In such a case, we call $(X^\circ, \mathbb{P}(\Sigma'))$ a *normal crossings pair*.

Lemma 2.9. *If X° is schön then it is smooth.*

Proof. Choose $\mathbb{P} = \mathbb{P}(\Sigma)$ as in Proposition 2.8. Then $m : \mathcal{T} \times_{\mathcal{O}} \mathcal{X} \rightarrow \mathbb{P}$ is smooth. Restricting to the generic fiber, we have $m : T \times X \rightarrow \mathbb{P}_\mathbb{K}$ is smooth. Since $\mathbb{P}_\mathbb{K}$ is smooth over \mathbb{K} , $T \times X$ is smooth. It follows that X and hence X° is smooth. \square

The same argument gives the following lemma:

Lemma 2.10. *Let $X^\circ \subset (\mathbf{k}^*)^n$ be a schön subvariety. Let $\mathbb{P}(\Delta)$ be a smooth toric variety such that $(X^\circ, \mathbb{P}(\Delta))$ is a tropical pair. Then X , the closure of X° in $\mathbb{P}(\Delta)$, is smooth.*

Lemma 2.11. *If X° is schön and (X°, \mathbb{P}) is a tropical pair, then each X_F° is schön as a subscheme of U_F .*

Proof. Let $V_F = \overline{U}_F$ be an orbit closure. By base-changing $m : \mathcal{T} \times_{\mathcal{O}} \mathcal{X} \rightarrow \mathbb{P}$ to V_F , we obtain smooth $m : T_{\mathbf{k}} \times X_F \rightarrow V_F$. Now, the torus T_F acts trivially on V_F from which we obtain that

$$m : (T/T_F)_{\mathbf{k}} \times X_F \rightarrow V_F$$

is smooth. □

Suppose X° is schön. Associated to a normal crossings pair (X°, \mathbb{P}) is the parameterizing complex $\Gamma_{(X^\circ, \mathbb{P})}$ which has appeared in the literature a number of times (notably, in the work of Speyer [35] in the case of curves and in the work of Hacking [15] in the constant coefficient case). We use the definitions introduced in Section 4 of [16]. There, the faces of $\Gamma_{(X^\circ, \mathbb{P})}$ are pairs (F, Y) where F is a face of Σ and Y is an irreducible component of X_F . Since (X°, \mathbb{P}) is a normal crossings pair, each X_F is smooth by Lemma 2.10 so irreducible components do not meet. A face (F', Y') is on the boundary of (F, Y) if and only if F' is a face of F and Y' is the unique component of $X_{F'}$ containing Y . The complex $\Gamma_{(X^\circ, \mathbb{P})}$ naturally maps to Σ by the map $(F, Y) \mapsto F$. The image of this map is $\text{Trop}(X^\circ)$. The bounded faces of $\Gamma_{(X^\circ, \mathbb{P})}$ form the dual complex of the semistable degeneration. The parameterizing complex can also be thought of as the complex associated to the stratification given by components of X_F for $F \in \Sigma$. By [16, Proposition 4.1], the underlying topological space of $\Gamma_{(X^\circ, \mathbb{P})}$ is independent of the choice of \mathbb{P} . When \mathbb{P} is understood, we will denote the parameterizing complex by Γ_{X° .

For a face $F \in \Sigma$, let $\text{Star}_\Sigma(F)$ be the star of F in Σ . It is a fan indexed by cells $G \in \Sigma$ that contain F . Pick a point w in the relative interior of F . The cone $\overline{G} \in \text{Star}_\Sigma(F)$ is the set of all $v \in N_{\mathbb{R}}$ such that $w + \epsilon v \in \Sigma$ for all sufficiently small $\epsilon > 0$. We define the *star quotient* to be $\Delta_F = \text{Star}_\Sigma(F)/(N_F)_{\mathbb{R}}$. If $w \in |\Sigma|$, let F be the unique face containing w in its relative interior. Then the *star quotient of Σ at w* is defined to be $\text{Star}_\Sigma(F)/(N_F)_{\mathbb{R}}$.

Tropicalization of initial degenerations of X° can be read from $\text{Trop}(X^\circ)$:

Lemma 2.12. [34, Proposition 2.2.3] *Let (X°, \mathbb{P}) be a tropical pair. For $w \in |\text{Trop}(X^\circ)|$,*

$$\text{Trop}(\text{in}_w X^\circ) = \text{Star}_{\text{Trop}(X^\circ)}(F)$$

where F is the unique face of Σ containing w in its relative interior.

3. THE TROPICAL MOTIVIC NEARBY FIBER

The goal of this section is to define the tropical motivic nearby fiber of a tropical variety, and show that it is independent of the choice of polyhedral structure on the tropical variety.

We continue with the notation of Section 2, and let (X°, \mathbb{P}) be tropical pair. Let Σ denote the corresponding polyhedral structure on $\text{Trop}(X^\circ) \subseteq N_{\mathbb{R}}$, with recession fan Δ . For each face F of Σ , let X_F° denote the corresponding subvariety of the complex torus $U_F \cong (\mathbb{C}^*)^{\text{codim } F}$ determined by F , and let τ_F denote the recession cone of F .

The *Grothendieck ring* $K_0(\text{Var}_{\mathbb{C}})$ of complex algebraic varieties is the free \mathbb{Z} -module generated by isomorphism classes $[V]$ of complex varieties V , modulo the relation

$$(1) \quad [V] = [U] + [V \setminus U],$$

whenever U is an open subvariety of V . Multiplication is defined by

$$[V] \cdot [W] = [V \times W],$$

and we set $\mathbb{L} := [\mathbb{A}^1]$. For example, we compute

$$[(\mathbb{C}^*)^n] = [\mathbb{C}^*]^n = (\mathbb{L} - 1)^n.$$

Definition 3.1. The **tropical motivic nearby fiber** $\psi_{(X^\circ, \Sigma)} \in K_0(\text{Var}_{\mathbb{C}})$ of the tropical pair (X°, \mathbb{P}) is

$$\psi_{(X^\circ, \Sigma)} = \sum_{F \in \Sigma} [X_F^\circ] (1 - \mathbb{L})^{\dim F - \dim \tau_F}.$$

Remark 3.2. Since X_F° may be non-reduced, we write $[X_F^\circ]$ to denote the element corresponding to X_F° with its reduced structure in the Grothendieck ring. Observe that if X° is schön, then X_F° is smooth, and hence reduced (see Proposition 2.7 and Remark 3.3 below).

Remark 3.3. If $\text{in}_w X^\circ$ denotes the initial degeneration of X° with respect to an element w in the relative interior of F , then

$$\text{in}_w X^\circ \cong X_F^\circ \times (\mathbb{C}^*)^{\dim F}.$$

Hence

$$\psi_{(X^\circ, \Sigma)} = \sum_{F \in \Sigma} \frac{(-1)^{\dim F} [\text{in}_w X^\circ]}{(1 - \mathbb{L})^{\dim \tau_F}}.$$

If B is a finite poset, then the Möbius function $\mu_B : B \times B \rightarrow \mathbb{Z}$ (see Appendix A) satisfies the property (known as ‘Möbius inversion’) that for any function $h : B \rightarrow A$ to an abelian group A ,

$$(2) \quad h(z) = \sum_{y \leq z} \mu_B(y, z) g(y), \text{ where } g(y) = \sum_{x \leq y} h(x).$$

In the lemma below, we regard the empty face of a polytope as having dimension -1 , and write $\tau \in \text{Int}(Q)$ if the relative interior of τ is contained in the relative interior of Q .

Lemma 3.4. *Let P be a d -dimensional polytope and let σ be a proper (possibly empty) face of P . If σ is a face of a polyhedral decomposition S of P , then, for any (possibly empty) face σ' of σ ,*

$$\sum_{\substack{\tau \in S, \tau \in \text{Int}(P) \\ \tau \cap \sigma = \sigma'}} (-1)^{\dim \tau} = \begin{cases} (-1)^d & \text{if } \sigma' = \sigma \\ 0 & \text{otherwise.} \end{cases}$$

Proof. Since the link of σ' in P is contractible,

$$\sum_{\tau \in S, \sigma' \subsetneq \tau} (-1)^{\dim \tau - \dim \sigma' - 1} = 1,$$

and hence

$$(3) \quad \sum_{\tau \in S, \sigma' \subseteq \tau} (-1)^{\dim \tau} = 0.$$

Let B be the poset of all faces of σ , ordered by reverse inclusion, and consider the function $h : B \rightarrow \mathbb{Z}$ defined by

$$h(\sigma') = \sum_{\substack{\tau \in S \\ \tau \cap \sigma = \sigma'}} (-1)^{\dim \tau}.$$

With the notation of (2), (3) implies that $g(\sigma') = 0$ for all $\sigma' \in B$. Hence Möbius inversion implies that $h(\sigma') = 0$.

If \tilde{B} denotes the poset of all (possibly empty) faces of P , ordered by inclusion, then the Möbius function of \tilde{B} is given by $\mu(Q', Q) = (-1)^{\dim Q - \dim Q'}$ whenever $Q' \subseteq Q$. Consider the function $h : \tilde{B} \rightarrow \mathbb{Z}$ defined by

$$h(Q) = \sum_{\substack{\tau \in S, \tau \in \text{Int}(Q) \\ \tau \cap \sigma = \sigma'}} (-1)^{\dim \tau}.$$

With the notation of (2),

$$g(Q) = \sum_{\substack{\tau \in S, \tau \subseteq Q \\ \tau \cap \sigma = \sigma'}} (-1)^{\dim \tau}.$$

Note that the above sum is zero unless $\sigma' \subseteq Q$, in which case

$$g(Q) = \sum_{\substack{\tau \in S|_Q \\ \tau \cap (\sigma \cap Q) = \sigma'}} (-1)^{\dim \tau}.$$

By the above discussion, the latter sum is zero unless $\sigma \cap Q = Q$, in which case $g(Q) = (-1)^{\dim \sigma'}$. Möbius inversion then implies that

$$h(P) = (-1)^d \sum_{\sigma' \subseteq Q \subseteq \sigma} (-1)^{\dim Q - \dim \sigma'} = \begin{cases} (-1)^d & \text{if } \sigma' = \sigma \\ 0 & \text{otherwise.} \end{cases}$$

□

Remark 3.5. It would be interesting to have a geometric interpretation and proof of the lemma above involving Euler characteristics.

Theorem 3.6. *The tropical motivic nearby fiber $\psi_{(X^\circ, \Sigma)} = \psi_{(X^\circ, \Delta)}$ of a tropical pair (X°, \mathbb{P}) is independent of the choice of polyhedral structure Σ on $\text{Trop}(X^\circ)$, and only depends on the corresponding recession fan Δ .*

Proof. Suppose Σ' is a polyhedral structure on $\text{Trop}(X^\circ)$ induced by a tropical pair (X°, \mathbb{P}') , and with the same recession fan Δ . After taking a common refinement, we may and will assume that Σ' is a refinement of Σ . Consider the corresponding proper morphism of toric varieties $\mathbb{P}' \rightarrow \mathbb{P}$. If F' is a face of Σ' , then the relative interior of F' lies in the relative interior $\text{Int}(F)$ of a unique face F of Σ . By standard toric geometry (see, for example, [13]), the corresponding morphism of tori $U_{F'} \rightarrow U_F$ factors as

$$U_{F'} \cong U_F \times (\mathbb{C}^*)^{\dim F - \dim F'} \rightarrow U_F,$$

where the second map is projection onto the first co-ordinate. By Proposition 2.4, $X_{F'}^\circ$ is the pullback of X_F° , and hence $[X_{F'}^\circ] = [X_F^\circ](\mathbb{L} - 1)^{\dim F - \dim F'}$. We compute

$$\begin{aligned} \psi_{(X^\circ, \Sigma')} &= \sum_{F' \in \Sigma'} [X_{F'}^\circ] (1 - \mathbb{L})^{\dim F' - \dim \tau_{F'}} \\ &= \sum_{F \in \Sigma} [X_F^\circ] (1 - \mathbb{L})^{\dim F - \dim \tau_F} \sum_{\substack{F' \in \Sigma' \\ \text{Int}(F') \subseteq \text{Int}(F)}} (-1)^{\dim F - \dim F'} (1 - \mathbb{L})^{\dim \tau_F - \dim \tau_{F'}}. \end{aligned}$$

Hence, it is enough to show that

$$\sum_{\substack{\text{Int}(F') \subseteq \text{Int}(F) \\ \dim \tau_{F'} = n}} (-1)^{\dim F - \dim F'} (1 - \mathbb{L})^{\dim \tau_F - \dim \tau_{F'}} = \begin{cases} 1 & \text{if } n = \dim \tau_F \\ 0 & \text{otherwise.} \end{cases}$$

This follows directly from Lemma 3.4 if we set $P = \sigma_F \cap H$ to be the intersection of the cone σ_F over $F \times 1$ in $N_{\mathbb{R}} \times \mathbb{R}$ with an affine hyperplane H , chosen such that P is a polytope not containing the origin, if S equals the polyhedral decomposition of P induced by $\Sigma' \cap |\sigma_F|$, and if $\sigma = \tau_F \cap H$ equals the intersection of P with $N_{\mathbb{R}} \times \{0\}$. \square

4. THE MOTIVIC NEARBY FIBER AND LIMIT HODGE STRUCTURES

The goal of this section is to recall some results on the motivic nearby fiber and limit mixed Hodge structure of a degeneration of complex varieties. We refer the reader to [6], [28] and [29] for details and proofs of the statements below.

Recall from Section 3 that the assignment of a complex variety V to its class $[V]$ in the Grothendieck ring $K_0(\text{Var}_{\mathbb{C}})$ is the universal invariant of complex varieties satisfying the relation (1). We recall the following specialization of this invariant.

In [11], Deligne proved that the m^{th} cohomology group with compact supports $H_c^m(V)$ of every d -dimensional complex algebraic variety V admits a canonical mixed Hodge structure. That is, $H_c^m(V)$ admits an increasing filtration W_\bullet called the **weight filtration** and a decreasing filtration F^\bullet called the **Hodge filtration**, such that the Hodge filtration induces a pure Hodge structure of weight k on the k^{th} graded piece of the weight filtration. We refer the reader to [28] for an introduction to mixed Hodge structures. In particular, we have an induced decomposition

$$H_c^m(V) \cong \bigoplus_{0 \leq p, q \leq d} H^{p, q}(H_c^m(V)),$$

where $H^{q, p}(H_c^m(V; \mathbb{C})) = \overline{H^{p, q}(H_c^m(V))}$. Throughout, we write $h^{p, q}(H_c^m(V)) = \dim H^{p, q}(H_c^m(V))$. If

$$e^{p, q}(V) = e^{q, p}(V) = \sum_m (-1)^m h^{p, q}(H_c^m(V)),$$

then the **Hodge-Deligne polynomial** of V is defined by

$$E(V) = E(V; u, v) = \sum_{p, q} e^{p, q}(V) u^p v^q,$$

and there is a well-defined ring homomorphism

$$E : K_0(\text{Var}_{\mathbb{C}}) \rightarrow \mathbb{Z}[u, v], \quad E([V]) = E(V).$$

Remark 4.1. In fact, the ring homomorphism above factors through a specialization with values in the Grothendieck ring of complex Hodge structures $K_0(\mathbf{hs})$, sending $[V]$ to $\sum_m (-1)^m H_c^m(V)$ (see, for example, [28, Corollary 3]).

Remark 4.2. If V is smooth and complete, then $H^m(V) = \bigoplus_{p+q=m} H^{p,q}(V)$ admits a pure Hodge structure of weight m , and $E(V) = \sum_{p,q} (-1)^{p+q} h^{p,q}(V) u^p v^q$.

Remark 4.3. By the above remark, $E(\mathbb{P}^1) = uv + 1$, and hence $E(\mathbb{L}) = E(\mathbb{P}^1) - E(\text{pt}) = uv$.

Remark 4.4. For any variety V , $E(V; 1, 1) = e(V)$ equals the topological Euler characteristic of V .

Let Y be a smooth, connected, $(d+1)$ -dimensional (complex) manifold, and let $f : Y \rightarrow \mathbb{D}$ be a proper map to the unit disk \mathbb{D} , which is smooth over the punctured disk $\mathbb{D}^* = \mathbb{D} \setminus \{0\}$. One can associate to this data the **motivic nearby fiber** ψ_f in $K_0(\text{Var}_{\mathbb{C}})$, which is invariant under base change of \mathbb{D} , and satisfies the property that if Z is smooth and connected, and $\pi : Z \rightarrow Y$ is an isomorphism away from the central fiber $Y_0 = f^{-1}(0)$, then $\psi_f = \psi_{f \circ \pi}$ [6, Remark 2.7]. If f is a **semi-stable degeneration**, in the sense that the central fiber Y_0 is a reduced, simple normal crossing divisor, then the motivic nearby fiber has the following description. If $\{D_i\}_{i \in \{1, \dots, n\}}$ denotes the irreducible components of Y_0 , and, for every non-empty subset $I \subseteq \{1, \dots, n\}$, we set $D_I^\circ = \bigcap_{i \in I} D_i \setminus \bigcup_{j \notin I} D_j$, then

$$(4) \quad \psi_f = \sum_{I \subseteq \{1, \dots, n\}} [D_I^\circ] (1 - \mathbb{L})^{|I|-1}.$$

Remark 4.5. It follows from the discussion above that the motivic nearby fiber ψ_f is determined by the smooth, proper map $f : Y' = f^{-1}(\mathbb{D}^*) \rightarrow \mathbb{D}^*$ over the punctured disk. Conversely, any smooth, proper map $f : Y' \rightarrow \mathbb{D}^*$ can be extended to a map $f : Y \rightarrow \mathbb{D}$, where Y is a connected manifold, i.e. by extending the family to \mathbb{D} and resolving singularities, and hence one may consider the corresponding invariant ψ_f .

For a fixed $t \in \mathbb{D}^*$, the m^{th} cohomology group of the smooth, complete fiber Y_t , which we will often denote Y_{gen} , admits a mixed Hodge structure called the **limit mixed Hodge structure**, which only depends on the family over \mathbb{D}^* , and is invariant under base change. The theory of limit mixed Hodge structures was developed by many authors including Deligne, Katz, Clemens [9], Schmid [33] and Steenbrink [38]. Throughout, we let $H^m(Y_\infty)$ denote $H^m(Y_{\text{gen}})$ with the limit mixed Hodge structure, and write

$$H^m(Y_\infty) \cong \bigoplus_{p,q \leq \min\{m,d\}} H^{p,q}(H^m(Y_\infty)).$$

The corresponding Hodge and weight filtrations may roughly be described as follows, and we refer the reader to [40, Chapter 7] for details. Firstly, the usual Hodge filtrations $F^p H^m(Y_t)$ on the non-zero fibers have a limit, in an appropriate sense, when $t \rightarrow 0$, called the **limit Hodge filtration**. In particular, $\dim F^p H^m(Y_{\text{gen}}) = \dim F^p H^m(Y_\infty)$. That is,

$$(5) \quad h^{p,m-p}(Y_{\text{gen}}) = \sum_q h^{p,q}(H^m(Y_\infty)),$$

and hence the limit Hodge numbers $\{h^{p,q}(H^m(Y_\infty))\}$ refine the usual Hodge numbers of a general fiber. Secondly, it is a classical result of Ehresmann (see [24] for a proof) that

$f : Y \rightarrow \mathbb{D}$ is a locally trivial C^∞ -fibration over \mathbb{D}^* . In particular, we may consider the **monodromy transformation**

$$T : H^m(Y_\infty) \rightarrow H^m(Y_\infty).$$

A classical result of Landman [19] states that T is quasi-unipotent i.e. some multiple of T is unipotent. Applying base change to \mathbb{D}^* i.e. pulling back the family $Y' \rightarrow \mathbb{D}^*$ via the covering map $\mathbb{D}^* \rightarrow \mathbb{D}^*$, $t \mapsto t^k$, has the effect of replacing T by T^k . Hence we may and will assume that T is unipotent. In this case, Landman further showed that $(T - I)^{m+1} = 0$. By standard linear algebra, the nilpotent operator $N = \log T = \sum_{k \geq 1} (-1)^{k-1} (T - I)^k / k$ induces a weight filtration on $H^m(Y_\infty)$, called the **monodromy weight filtration**, which determines and is determined by the Jordan block decomposition of N . Indeed, we may inductively define a unique increasing filtration

$$0 \subseteq W_0 \subseteq W_1 \subseteq \cdots \subseteq W_{2m} = H^m(Y_\infty),$$

with associated graded pieces $Gr_k^W := W_k / W_{k-1}$, satisfying the following properties for any non-negative integer k ,

- (1) $N(W_k) \subseteq W_{k-2}$,
- (2) the induced map $N^k : Gr_{m+k}^W \rightarrow Gr_{m-k}^W$ is an isomorphism.

For example, $W_0 = \text{im } N^m$ and $W_{2m-1} = \ker N^m$. In fact, the map $N : H^m(Y_\infty) \rightarrow H^m(Y_\infty)$ is a morphism of mixed Hodge structures of type $(-1, -1)$.

Remark 4.6. It follows that for $k \geq 0$, if $H^{p,m-p}(Y_{\text{gen}}) = 0$ for all $p < \frac{m-k}{2}$, then $N^{k+1} = 0$ [29, Corollary 11.42]. Indeed, by (5) and the symmetry of the Hodge numbers of Y_{gen} ,

$$H^{p,q}(H^m(Y_\infty)) = 0 \text{ unless } \frac{m-k}{2} \leq p \leq \frac{m+k}{2}.$$

Hence, either the source or the target of the induced map $N^{k+1} : H^{p,q}(H^m(Y_\infty)) \rightarrow H^{p-k-1,q-k-1}(H^m(Y_\infty))$ is zero.

The **limit Hodge-Deligne polynomial** is defined to be

$$E(Y_\infty) = E(Y_\infty; u, v) = \sum_{p,q} e^{p,q}(Y_\infty) u^p v^q,$$

where

$$e^{p,q}(Y_\infty) = e^{q,p}(Y_\infty) = \sum_m (-1)^m h^{p,q}(H^m(Y_\infty)).$$

Remark 4.7. It follows from (5) that

$$(6) \quad E(Y_\infty; u, 1) = E(Y_{\text{gen}}; u, 1).$$

In particular, by Remark 4.4, $E(Y_\infty; 1, 1)$ equals the topological Euler characteristic of Y_{gen} .

A deep result of Steenbrink [38, Corollary 4.20] gives a spectral sequence which converges to determine the limit mixed Hodge structure. In particular, Steenbrink's result implies that the motivic nearby fiber specializes to the limit Hodge-Deligne polynomial [29, Corollary 11.26]. That is, under the ring homomorphism $E : K_0(\text{Var}_{\mathbb{C}}) \rightarrow \mathbb{Z}[u, v]$,

$$(7) \quad E(\psi_f) = E(Y_\infty; u, v).$$

In particular, if the central fiber Y_0 is a reduced, simple normal crossing divisor with irreducible components $\{D_i\}_{i \in \{1, \dots, n\}}$, then we conclude that

$$E(Y_\infty) = \sum_{I \subseteq \{1, \dots, n\}} E(D_I^\circ) (1 - uv)^{|I|-1}.$$

Remark 4.8. With the notation above, let S be the simplicial complex with k -dimensional faces indexed by $(k+1)$ -fold intersections of the components of the central fiber $Y_0 = \sum_{i=1}^n D_i$, with associated topological space $|S|$. As explained in [25], the weight 0 part of $H^m(Y_\infty)$ is described by

$$H^{0,0}(H^m(Y_\infty)) \cong H^m(|S|),$$

and $e^{0,0}(Y_\infty)$ is equal to the Euler characteristic of $|S|$.

Remark 4.9. As in [9, Section I.4], and with the notation above, $H^{d,0}(H^m(Y_\infty)) = 0$ for $m \neq d$, and

$$H^{d,0}(H^d(Y_\infty)) \cong \bigoplus_{i=1}^n H^{d,0}(D_i).$$

If Z is a smooth, complete d -dimensional variety, then the **genus** of Z is $p_g(Z) = h^{d,0}(Z) = \dim H^0(Z, \Omega_Z^d)$. We conclude that

$$e^{d,0}(Y_\infty) = (-1)^d \sum_{i=1}^n p_g(D_i).$$

5. APPLICATIONS FOR SCHÖN SUBVARIETIES OF TORI

The goal of this section is to give a geometric interpretation of the tropical motivic nearby fiber of a schön subvariety of a torus, and deduce some Hodge-theoretic and topological consequences.

We continue with the notation of Section 2, and let \mathcal{O} be the ring of germs of analytic functions in \mathbb{C} in a neighborhood of the origin. Let $X^\circ \subseteq T$ be a schön subvariety, and let (X°, \mathbb{P}) be a tropical pair with corresponding polyhedral structure Σ on $\text{Trop}(X^\circ)$, and unimodular recession fan Δ . Let \mathcal{X} denote the closure of X° in \mathbb{P} , with smooth generic fiber X .

As in Section 3, we consider the tropical motivic nearby fiber $\psi_{(X^\circ, \Delta)}$ in the Grothendieck ring $K_0(\text{Var}_{\mathbb{C}})$ of complex varieties (cf. Theorem 3.6). On the other hand, we may fix a disk \mathbb{D} of sufficiently small radius centered at the origin in \mathbb{C} , and consider the generic fiber X as a smooth, complex manifold X_{an} admitting a smooth, proper map $f : X_{\text{an}} \rightarrow \mathbb{D}^*$ to the punctured disk $\mathbb{D}^* = \mathbb{D} \setminus \{0\}$. Let $\psi_f \in K_0(\text{Var}_{\mathbb{C}})$ be the corresponding motivic nearby fiber ψ_f (cf. Remark 4.5), and let X_{gen} denote a fixed fiber of f . Observe that the invariants $\psi_{(X^\circ, \Delta)}$ and ψ_f , as well as X_{gen} , do not depend on \mathbb{P} , but only on the associated recession fan Δ .

Theorem 5.1. *With the notation above, if $X^\circ \subseteq T$ is schön and Δ is a unimodular recession fan associated to the tropical variety $\text{Trop}(X^\circ)$, then $\psi_{(X^\circ, \Delta)} = \psi_f$.*

Proof. By Proposition 2.8 and its succeeding discussion, we may and will assume that (X°, \mathbb{P}) is a normal crossings pair. In particular, we may consider \mathcal{X} as a smooth complex manifold

\mathcal{X}_{an} admitting a proper map $f : \mathcal{X}_{\text{an}} \rightarrow \mathbb{D}$, with reduced, simple normal crossings central fiber X_0 . In this case, ψ_f is computed via the formula (4). That is,

$$\psi_f = \sum_{I \subseteq \{1, \dots, n\}} [D_I^\circ] (1 - \mathbb{L})^{|I|-1},$$

where $\{D_i\}_{i \in \{1, \dots, n\}}$ denotes the irreducible components of X_0 , and, for every non-empty subset $I \subseteq \{1, \dots, n\}$, $D_I^\circ = \cap_{i \in I} D_i \setminus \cup_{j \notin I} D_j$. On the other hand, the irreducible components of X_0 are indexed by the vertices of Σ , and X_0 is the disjoint union of the locally closed subvarieties $\{X_F^\circ \mid F \in \Sigma\}$. Moreover, X_F° is contained in precisely $\dim F - \dim \tau_F + 1$ of the irreducible components of X_0 . The result now follows from Definition 3.1. \square

The following corollary is immediate from the discussion of Section 4. Let $E(X_\infty)$ denote the limit Hodge-Deligne polynomial associated to $f : X_{\text{an}} \rightarrow \mathbb{D}^*$.

Corollary 5.2. *With the notation above, if $X^\circ \subseteq T$ is schön and \triangle is a unimodular recession fan associated to the tropical variety $\text{Trop}(X^\circ)$, then*

$$E(X_\infty) = \sum_{F \in \Sigma} E(X_F^\circ) (1 - uv)^{\dim F - \dim \tau_F}.$$

In particular,

$$E(X_{\text{gen}}; u, 1) = \sum_{F \in \Sigma} E(X_F^\circ; u, 1) (1 - u)^{\dim F - \dim \tau_F},$$

and the topological Euler characteristic of X_{gen} is given by

$$e(X_{\text{gen}}) = \sum_{\substack{F \in \Sigma \\ \dim F = \dim \tau_F}} e(X_F^\circ).$$

Proof. The first statement follows from Theorem 5.1 and the fact that the motivic nearby fiber specializes to the limit Hodge-Deligne polynomial (7). The second and third statements follow from Remark 4.7. \square

Recall from Section 2 that Γ_{X° denotes the parametrizing complex of X° . It follows from Remark 4.8 that the weight 0 part of $H^m(X_\infty)$ is described by

$$(8) \quad H^{0,0}(H^m(X_\infty)) \cong H^m(|\Gamma_{X^\circ}|).$$

Recall from Section 4 that we have an isomorphism

$$N^m : Gr_{2m}^W H^m(X_\infty) \rightarrow Gr_0^W H^m(X_\infty).$$

Hence, the m^{th} Betti number $b_m(\Gamma_{X^\circ})$ of $|\Gamma_{X^\circ}|$ satisfies

$$b_m(\Gamma_{X^\circ}) = h^{0,0}(H^m(X_\infty)) \leq h^{i,i}(H^m(X_\infty)) \text{ for } 0 \leq i \leq m.$$

In particular, by (5), we immediately obtain the following corollary.

Corollary 5.3. *With the notation above, if $X^\circ \subseteq T$ is schön and \triangle is a unimodular recession fan associated to the tropical variety $\text{Trop}(X^\circ)$, then the parametrizing complex Γ satisfies*

$$b_m(\Gamma_{X^\circ}) \leq \min_{p+q=m} h^{p,q}(X_{\text{gen}}).$$

This strengthens the result of Helm and the first named author [16, Corollary 5.8] that

$$b_m(\Gamma_{X^\circ}) \leq \frac{b_m(X_{\text{gen}})}{m+1}.$$

Recall that if V is a d -dimensional, pure-dimensional, smooth, complete variety, then the genus of V is $p_g(V) = h^{d,0}(V)$. Let $\text{vert}(\Sigma)$ denote the set of vertices of Σ .

Corollary 5.4. *With the notation above, if $X^\circ \subseteq T$ is schön and Δ is a unimodular recession fan associated to the tropical variety $\text{Trop}(X^\circ)$, and if X_v is smooth for each $v \in \text{vert}(\Sigma)$, then $H^{d,0}(H^m(X_\infty)) = 0$ for $m \neq d$, and*

$$h^{d,0}(H^d(X_\infty)) = \sum_{v \in \text{vert}(\Sigma)} p_g(X_v).$$

In particular, if Γ_{X° denotes the parametrizing complex of X° , then

$$b_d(\Gamma_{X^\circ}) + \sum_{v \in \text{vert}(\Sigma)} p_g(X_v) \leq p_g(X_{\text{gen}}).$$

Proof. By Theorem 5.1,

$$e^{d,0}(X_\infty) = \sum_{v \in \text{vert}(\Sigma)} e^{d,0}(X_v^\circ).$$

On the other hand, $e^{d,0}(X_v^\circ) = e^{d,0}(X_v) = (-1)^d p_g(X_v)$ by the additivity property of Hodge-Deligne polynomial, the fact that the dimension of $X_v \setminus X_v^\circ$ is strictly less than d , and Remark 4.2. On the other hand, by Remark 4.9, $H^{d,0}(H^m(X_\infty)) = 0$ for $m \neq d$, and hence

$$e^{d,0}(X_\infty) = (-1)^d h^{d,0}(H^d(X_\infty)).$$

The first statement is now immediate, while the second statement follows from (8), together with the following inequality which is an immediate consequence of (5),

$$h^{0,0}(H^d(X_\infty)) + h^{d,0}(H^d(X_\infty)) \leq p_g(X_{\text{gen}}).$$

□

Remark 5.5. The assumption that X_v is smooth for each $v \in \text{vert}(\Sigma)$ in the above corollary is satisfied if (X°, \mathbb{P}) is a normal crossings pair (see Section 2, cf. Remark 4.9).

Example 5.6 (Curves). Consider the case when X° is 1-dimensional, and let $C \rightarrow \mathbb{D}^*$ denote the corresponding smooth family of curves with non-zero fiber C_{gen} , and parametrizing complex Γ . After possibly scaling and refining $\text{Trop}(X^\circ)$, we can assume that X_{an} is a smooth manifold. Moreover, C_v is smooth for each $v \in \text{vert}(\Sigma)$. Then the zeroth and top cohomology groups are trivial

$$b_0(C_{\text{gen}}) = b_2(C_{\text{gen}}) = 1.$$

The limit mixed Hodge numbers of the middle cohomology are given by

$$\begin{aligned} h^{0,0}(H^1(C_\infty)) &= h^{1,1}(H^1(C_\infty)) = b_1(\Gamma), \\ h^{1,0}(H^1(C_\infty)) &= h^{0,1}(H^1(C_\infty)) = \sum_{v \in \text{vert}(\Sigma)} g(C_v). \end{aligned}$$

In particular, the genus of C_{gen} is given by the formula

$$g(C_{\text{gen}}) = b_1(\Gamma_C) + \sum_{v \in \text{vert}(\Sigma)} g(C_v).$$

6. LIMIT HODGE STRUCTURES OF HYPERSURFACES

The goal of this section is to explicitly compute the limit mixed Hodge structure of a schön family of hypersurfaces. We continue with the notation of Section 2, and refer the reader to [13] for basic facts on toric varieties.

As in Section 5, let \mathcal{O} be the ring of germs of analytic functions in \mathbb{C} in a neighborhood of the origin. Let $X^\circ \subseteq T = \operatorname{Spec} \mathbb{K}[M]$ be a schön hypersurface. That is, $X^\circ = \{\sum_{u \in M} \alpha_u x^u = 0\} \subseteq T$, for some $\alpha_u \in \mathbb{K}$. The **Newton polytope** P of X° is the convex hull of $\{u \in M \mid \alpha_u \neq 0\}$, and the function $P \cap M \rightarrow \mathbb{Z}$, $u \mapsto \operatorname{ord} \alpha_u$, induces a regular, lattice polyhedral decomposition \mathcal{T} of P . Explicitly, the faces of \mathcal{T} are the projections of the bounded faces of the convex hull of $\{(u, \lambda) \mid \alpha_u \neq 0, \lambda \geq \operatorname{ord} \alpha_u\}$ in $M_{\mathbb{R}} \times \mathbb{R}$. The tropicalization $\operatorname{Trop}(X^\circ)$ is ‘dual’ to the polyhedral decomposition \mathcal{T} , in the sense that $\operatorname{Trop}(X^\circ)$ has a natural polyhedral structure such that its faces are in bijection with the positive dimensional faces of \mathcal{T} (see, for example, [32, Section 3]). The corresponding recession fan of $\operatorname{Trop}(X^\circ)$ is the fan obtained from the normal fan of P by removing its maximal cones. We will assume throughout this section that P is $(d+1)$ -dimensional and that P is **almost smooth** in the sense that the recession fan of $\operatorname{Trop}(X^\circ)$ is unimodular. We remark that if P is not almost smooth, then one can apply Proposition 2.8 to obtain a unimodular refinement of the recession fan.

As in Section 5, we may consider the corresponding proper map $f : X_{\text{an}} \rightarrow \mathbb{D}$ to a disk \mathbb{D} of sufficiently small radius, which is smooth over the punctured disk \mathbb{D}^* . A fixed non-zero fiber X_{gen} is a smooth hypersurface of the smooth toric variety Y_P associated to the recession fan, and is schön with respect to the associated complex torus. The central fiber X_0 is a disjoint union of locally closed subvarieties $\{X_Q^\circ \mid Q \in \mathcal{T}\}$, each of which is Schön with respect to its corresponding complex torus $U_Q \cong (\mathbb{C}^*)^{\dim Q}$. If $\mathcal{T}^{(i)}$ denotes the set of faces of \mathcal{T} whose relative interior lies in the relative interior of a codimension i face of P , then Corollary 5.2 implies that

$$(9) \quad E(X_\infty; u, v) = \sum_{i=0}^d \sum_{Q \in \mathcal{T}^{(i)}} E(X_Q^\circ) (1 - uv)^{\operatorname{codim} Q - i}.$$

The notion of a schön or **non-degenerate** hypersurface Z of a torus $(\mathbb{C}^*)^n$ was introduced by Khovanskii in [17]. Danilov and Khovanskii gave an explicit combinatorial algorithm to compute the Hodge-Deligne polynomial of a non-degenerate hypersurface of a complex torus [10]. Later, Batyrev and Borisov produced a combinatorial formula [3, Theorem 3.24], which was simplified by Borisov and Mavlyutov in [7, Proposition 5.5]. The formula is determined by the Ehrhart polynomial of the Newton polytope and all its faces (see, for example, [5]), together with the face poset of the Newton polytope, and is stated explicitly in Theorem A.7. In particular, together with (9), we obtain a combinatorial formula for $E(X_\infty; u, v)$.

By Remark 4.2, the Hodge numbers of X_{gen} are also determined by this formula, since the intersection of X_{gen} with any toric stratum of Y_P may be regarded as a non-degenerate hypersurface with Newton polytope a face of P . In particular, the topological Euler characteristic of X_{gen} is given by the formula [10, Remark 4.5]

$$(10) \quad e(X_{\text{gen}}) = \sum_{Q \subseteq P} (-1)^{\dim Q - 1} (\dim Q)! \operatorname{vol}(Q),$$

where $\operatorname{vol}(Q)$ denotes the Euclidean volume of a face Q of P with respect to its affine span.

The inclusion $X_{\text{gen}} \hookrightarrow Y_P$ induces a map on cohomology

$$H^m(Y_P) \rightarrow H^m(X_{\text{gen}}),$$

which, by the Lefschetz hyperplane theorem, is an isomorphism for $m < d$, and injective for $m = d$. Moreover, Poincaré duality implies that $H^m(X_{\text{gen}}) \cong H^{2d-m}(X_{\text{gen}})$. Recall that the **h-vector** $\{h_{P,k}\}$ of P is defined as the coefficients of the polynomial

$$h_P(t) = \sum_{k=0}^{d+1} h_{P,k} t^k = \sum_{Q \subseteq P} t^{d+1-\dim Q} (1-t)^{\dim Q},$$

where the sum runs over all non-empty faces Q of P . The projective toric variety Y_P has no odd cohomology, and $\dim H^{2m}(Y_P) = h^{m,m}(Y_P) = h_{P,m}$. By Remark 4.6, we deduce that, for $m \neq d$, the monodromy operator on $\dim H^m(X_{\text{gen}})$ is trivial, and hence the limit mixed Hodge structure coincides with the usual pure Hodge structure. When $m = d$, the same holds for the image of $H^d(Y_P)$ in $H^d(X_{\text{gen}})$. Hence, to describe the limit mixed Hodge structure of X , it remains to describe the limit mixed Hodge structure on

$$H_{\text{prim}}^d(X_{\infty}) := \text{coker}[H^d(Y_P) \rightarrow H^d(X_{\text{gen}})].$$

It follows that $E(X_{\infty}; u, v)$ determines and is determined by the limit Hodge numbers $h^{p,q}(H^m(X_{\infty}))$. That is, we have shown the following.

Corollary 6.1. *With the notation above, there exist explicit combinatorial formulas for the limit Hodge numbers $h^{p,q}(H^m(X_{\infty}))$.*

Remark 6.2. In general, knowing the limit Hodge numbers $h^{p,q}(H^m(X_{\infty}))$ of a degeneration is strictly more information than knowing the limit Hodge-Deligne polynomial $E(X_{\infty})$.

We will now present simpler combinatorial formulas for some of the limit Hodge numbers $h^{p,q}(H^d(X_{\infty}))$. Below, $\text{Int}(Q)$ denotes the relative interior of a face Q of P .

Example 6.3. Recall that $\text{Trop}(X^{\circ})$ is dual to the polyhedral decomposition \mathcal{T} , such that an interior edge of \mathcal{T} with s interior lattice points corresponds to a maximal, unbounded face of $\text{Trop}(X^{\circ})$ of multiplicity $s + 1$. It follows that the parametrizing complex $\Gamma_{X^{\circ}}$ is homotopic to a wedge of d -spheres, which can be indexed by the lattice points in the interior of P which lie on either an edge or a vertex of \mathcal{T} . By (8),

$$h^{0,0}(H^d(X_{\infty})) = b_d(\Gamma_{X^{\circ}}) = \sum_{\substack{Q \in \mathcal{T}^{(0)} \\ \dim Q \leq 1}} \#(\text{Int}(Q) \cap M),$$

and $h^{0,0}(H^m(X_{\infty})) = b_m(\Gamma_{X^{\circ}}) = 0$ for $0 < m < d$.

We will need the following result of Danilov and Khovanskii.

Proposition 6.4. [10, Proposition 5.8] *If X_Q° is a non-degenerate hypersurface of a complex torus with Newton polytope Q in a lattice M , and $p > 0$, then*

$$e^{p,0}(X_Q^{\circ}) = (-1)^{\dim Q+1} \sum_{\substack{Q' \subseteq Q \\ \dim Q' = p+1}} \#(\text{Int}(Q') \cap M).$$

We deduce the following corollary.

Corollary 6.5. *With the notation above, for $p > 0$,*

$$h^{p,0}(H^d(X_\infty)) = \sum_{\substack{Q \in \mathcal{T}^{(0)} \\ \dim Q = p+1}} \#(\text{Int}(Q) \cap M).$$

Proof. By the above discussion, $h^{p,0}(H^m(X_\infty)) = 0$ for $m \neq d$, and hence

$$h^{p,0}(H^d(X_\infty)) = (-1)^d e^{p,0}(X_\infty).$$

By (9) and Proposition 6.4,

$$\begin{aligned} e^{p,0}(X_\infty) &= \sum_{Q \in \mathcal{T}} e^{p,0}(X_Q^\circ) \\ &= \sum_{Q \in \mathcal{T}} (-1)^{\dim Q+1} \sum_{\substack{Q' \subseteq Q \\ \dim Q' = p+1}} \#(\text{Int}(Q') \cap M) \\ &= \sum_{\substack{Q' \in \mathcal{T} \\ \dim Q' = p+1}} \#(\text{Int}(Q') \cap M) \cdot \sum_{\substack{Q \in \mathcal{T} \\ Q' \subseteq Q}} (-1)^{\dim Q+1}. \end{aligned}$$

Since the link of a face Q' in \mathcal{T} is homotopic to a sphere if $Q' \in \mathcal{T}^{(0)}$, and is contractible otherwise, it follows that

$$\sum_{\substack{Q \in \mathcal{T} \\ Q' \subseteq Q}} (-1)^{\dim Q+1} = \begin{cases} (-1)^{d-1} & \text{if } Q' \in \mathcal{T}^{(0)} \\ 0 & \text{otherwise.} \end{cases}$$

□

Remark 6.6. From Example 6.3, Corollary 6.5, and (5), we recover the well-known fact that the genus $h^{d,0}(X_{\text{gen}})$ of X_{gen} equals the number of interior lattice points of P .

Example 6.7 (cf. Section 7). Suppose that \mathcal{T} is a unimodular triangulation of P . That is, suppose that each maximal face of \mathcal{T} is isomorphic to the standard $(d+1)$ -dimensional simplex. For each face Q in \mathcal{T} , X_Q° is isomorphic to the intersection of a general linear hyperplane in projective space $\mathbb{P}^{\dim Q}$ with the maximal torus $(\mathbb{C}^*)^{\dim Q}$, and hence $E(X_F^\circ)$ is a polynomial in uv . It follows from (9) and the discussion above that all non-zero limit Hodge numbers are of type (p, p) . Hence, if one sets $m = d$ in (5), then at most one term on the right hand side of the equation is non-zero, and

$$h^{p,p}(H^d(X_\infty)) = h^{p,d-p}(X_{\text{gen}}).$$

Example 6.8 (Curves). Let us assume that P is a 2-dimensional lattice polytope. By Example 6.3 and Corollary 6.5,

$$\begin{aligned} h^{0,0}(H^1(C_\infty)) &= h^{1,1}(H^1(C_\infty)) = \sum_{\substack{Q \in \mathcal{T}^{(0)} \\ \dim Q \leq 1}} \#(\text{Int}(Q) \cap M), \\ h^{1,0}(H^1(C_\infty)) &= h^{0,1}(H^1(C_\infty)) = \sum_{\substack{Q \in \mathcal{T}^{(0)} \\ \dim Q = 2}} \#(\text{Int}(Q) \cap M). \end{aligned}$$

Example 6.9 (Surfaces). Let us assume that P is a 3-dimensional lattice polytope. By Example 6.3 and Corollary 6.5,

$$h^{0,0}(H^2(X_\infty)) = h^{2,2}(H^2(X_\infty)) = \sum_{\substack{Q \in \mathcal{T}^{(0)} \\ \dim Q \leq 1}} \#(\text{Int}(Q) \cap M),$$

$$\begin{aligned} h^{1,0}(H^2(X_\infty)) &= h^{0,1}(H^2(X_\infty)) = h^{2,1}(H^2(X_\infty)) = h^{1,2}(H^2(X_\infty)) \\ &= \sum_{\substack{Q \in \mathcal{T}^{(0)} \\ \dim Q = 2}} \#(\text{Int}(Q) \cap M), \end{aligned}$$

$$h^{2,0}(H^2(X_\infty)) = h^{0,2}(H^2(X_\infty)) = \sum_{\substack{Q \in \mathcal{T} \\ \dim Q = 3}} \#(\text{Int}(Q) \cap M).$$

Lastly, $h^{1,1}(H^2(X_\infty))$ can easily be deduced from the combinatorial formula (10) for the topological Euler characteristic $e(X_{\text{gen}})$. Explicitly, $e(X_{\text{gen}}) = b_2(X_{\text{gen}}) + 2$, and $b_2(X_{\text{gen}}) = \sum_{p,q} h^{p,q}(H^2(X_\infty))$ by (5).

7. THE TROPICAL MOTIVIC NEARBY FIBER OF MATROIDAL TROPICAL VARIETIES

A matroidal tropical variety is one that is locally described by the matroid fans of Ardila and Klivans [1]. They were first introduced by Mikhalkin in [22] who originally called them “smooth tropical varieties” but has since renamed them “effective tropical cycles of multiplicity 1” in [23]. The name of such tropical varieties will eventually be standardized in the literature but we use the matter-of-fact adjective matroidal for the time-being. Some evidence for Mikhalkin’s original name “smooth tropical variety” is provided by Proposition 7.10.

Matroids are abstract objects that axiomatize the combinatorics of linear independence. A rank $d + 1$ **matroid** \mathbb{M} on a finite set $E = \{0, 1, \dots, n\}$ is given by a rank function $r : 2^E \rightarrow \mathbb{N} \cup \{0\}$ satisfying

- (1) $r(I) \leq |I|$,
- (2) $I \subseteq J$ implies $r(I) \leq r(J)$,
- (3) $r(I \cup J) + r(I \cap J) \leq r(I) + r(J)$,
- (4) $r(E) = d + 1$.

A **flat** of \mathbb{M} is a subset $I \subseteq E$ such that for all $j \in E \setminus I$, $r(I \cup \{j\}) > r(I)$. They form a lattice under the partial order of inclusion. One may associate such a matroid to a d -dimensional linear subspace X of \mathbb{P}^n . For $I \subseteq E$, let H_I be the coordinate subspace given by $\cap_{i \in I} \{X_i = 0\}$, and set $r(I) = d - \dim(X \cap H_I)$ (where we use the convention that the dimension of the empty set is -1). I is a flat of \mathbb{M} if and only if the linear space $X \cap H_I$ is not equal to $X \cap H_J$ for any $J \supset I$. Equivalently, if we define H_I^* to be the subset of H_I given by $X_j \neq 0$ for $j \notin I$, I is a flat of \mathbb{M} if and only if X intersects H_I^* .

The matroid \mathbb{M} can be encoded in a simplicial fan called the *matroid fan* $\Delta_{\mathbb{M}}$. Let N be the lattice

$$N = \mathbb{Z}^E / \langle e_0 + \dots + e_n \rangle.$$

$\Delta_{\mathbb{M}}$ will be a fan in $N_{\mathbb{R}}$. For a subset $I \subset E$, let e_I be the vector

$$e_I = \sum_{i \in I} e_i$$

in $N_{\mathbb{R}}$. The rays of the matroid fan $\Delta_{\mathbb{M}}$ are $\rho_L = \mathbb{R}_+ e_L$ for proper flats $L \subsetneq E$ of the matroid. More generally, the k -dimensional cones of the matroid fan correspond to the k -step flags of proper flats: if \mathcal{F} is a flag of flats $L_1 \subset \cdots \subset L_k$ then the corresponding cone is $\sigma_{\mathcal{F}} = \text{Span}_+(\{e_{L_1}, \dots, e_{L_k}\})$. Because every flag of flats in a matroid can be extended to a full flag, the matroid fan $\Delta_{\mathbb{M}}$ is of pure dimension d . Each top-dimensional cone of $\Delta_{\mathbb{M}}$ is given multiplicity 1. Ardila and Klivans introduced this fan as the fine subdivision of the Bergman fan of a matroid.

The tropicalization of a hyperplane arrangement complement X° defined over \mathbb{C} is a fan of the form $\Delta_{\mathbb{M}}$. In fact, let $X \subset \mathbb{P}^n$ be a d -dimensional linear space and set $X^\circ = X \cap (\mathbb{C}^*)^n$. Let \mathbb{M} be the matroid on $E = \{0, 1, \dots, n\}$ associated to X . Then, $\text{Trop}(X^\circ) = \Delta_{\mathbb{M}}$.

Example 7.1. Let \mathbb{M} be a rank 2 matroid on $E = \{0, 1, \dots, n\}$. Let I_1, \dots, I_s be the set of rank 1 flats. $\Delta_{\mathbb{M}}$ is the union of the rays $\{\rho_{I_k}\}_{1 \leq k \leq s}$. In the special case that every element of I is a rank 1 flat, $\Delta_{\mathbb{M}}$ is the union of ρ_0, \dots, ρ_n which is the 1-skeleton of the fan corresponding to \mathbb{P}^n . This is the tropicalization of a generic line in \mathbb{P}^n which intersects each coordinate hyperplane in a generic point.

Moreover, if a matroid fan is the tropicalization of a variety, the variety must be a hyperplane arrangement complement.

Proposition 7.2. [18, Proposition 4.2] *Let $X^\circ \subset (\mathbb{C}^*)^n$ be a subvariety. Suppose that $|\text{Trop}(X^\circ)| = |\Delta_{\mathbb{M}}|$ and each top-dimensional cell of $\text{Trop}(X^\circ)$ has multiplicity 1. Then the closure of X° in $\mathbb{P}_{\mathbb{C}}^n$ is a d -dimensional linear subspace whose matroid is \mathbb{M} .*

The matroid \mathbb{M} can be recovered from the underlying set $|\Delta_{\mathbb{M}}|$. For $I \subset E$, let $\tau_I = \text{Span}_+(\{e_i | i \in I\})$. The set of all τ_I form the fan Δ corresponding to the toric variety \mathbb{P}^n . Note that each open cone of $\Delta_{\mathbb{M}}$ is contained in a unique open cone in Δ . In fact, $\sigma_{\mathcal{F}} \subset \tau_{L_k}$.

Lemma 7.3. *Let $I \subset E$. I is a proper flat of \mathbb{M} if and only if $|\Delta_{\mathbb{M}}| \cap \tau_I^\circ \neq \emptyset$. In that case, $r(I)$ is equal to the dimension of the tangent space of a smooth point of $|\Delta_{\mathbb{M}}| \cap \tau_I^\circ$.*

Proof. Suppose I is a flat of \mathbb{M} , then ρ_I is a ray in $\Delta_{\mathbb{M}}$ and is contained in τ_I° .

Now suppose $\Delta_{\mathbb{M}} \cap \tau_I^\circ \neq \emptyset$. Then there is an open cone $\sigma_{\mathcal{F}}$ contained in the relative interior of τ_I . If $\mathcal{F} = \{L_1 \subset \cdots \subset L_k\}$ then $L_k = I$ and I is a flat of \mathbb{M} . Now, $\sigma_{\mathcal{F}}^\circ$ is a maximal cone of $\Delta_{\mathbb{M}} \cap \tau_I^\circ$ if and only if \mathcal{F} is a saturated flag. Consequently, $\dim(\sigma_{\mathcal{F}}) = r(I)$. In that case, the linear span of $\sigma_{\mathcal{F}}$ is equal to the tangent space of a point in its relative interior. \square

Let $P_{\mathbb{M}}$ be the poset of flats of \mathbb{M} under inclusion where $\widehat{0} = \emptyset$ is the unique minimal element and $\widehat{1} = \{0, 1, \dots, n\}$. Then by Theorem 1 of [1], $\Delta_{\mathbb{M}} \cap S^{n-1}$ is a geometric realization of the order complex of the poset $\Delta(P_{\mathbb{M}} \setminus \{\widehat{0}, \widehat{1}\})$. Consequently, $\mu(\widehat{0}, \widehat{1}) = \widetilde{\chi}(|\Delta_{\mathbb{M}}| \cap S^{n-1})$ by Proposition 3.8.6 of [36]. One may recover the Möbius function of $P_{\mathbb{M}}$ from $\Delta_{\mathbb{M}}$.

Lemma 7.4. *Let I be a flat of \mathbb{M} of positive rank. Let K be the set of cones of $\Delta_{\mathbb{M}}$ that intersect τ_I° in ρ_I ordered under inclusion. Let σ'_I be a maximal cone in K . Then*

$$\mu(\widehat{0}, I) = \widetilde{\chi}(\text{lk}_{\sigma'_I}(\Delta_{\mathbb{M}})).$$

Proof. Each cone in Δ_M that intersects τ_I° in ρ_I is of the form $\sigma'_I = \sigma_{\mathcal{F}}$ for \mathcal{F} a flag of flats $\{L_1 = I \subset \cdots \subset L_k\}$. For the cone $\sigma_{\mathcal{F}}$ to be maximal of that type, it must correspond to a saturated flag of flats. A cone $\sigma_{\mathcal{F}'}$ contains $\sigma_{\mathcal{F}}$ in its closure if and only if \mathcal{F} is the terminal segment of \mathcal{F}' . It follows that $\text{lk}_{\sigma'_I}(\Delta_{\mathbb{M}})$ is a geometric realization of the order complex $\Delta((P_{\mathbb{M}})_I \setminus \{\widehat{0}, I\})$, where $(P_{\mathbb{M}})_I$ is the principal order ideal $(P_{\mathbb{M}})_I = \{J | J \subseteq I\}$. The conclusion follows from Proposition 3.8.6 of [36]. \square

We can use the above lemma in combination with $\mu(\widehat{0}, \widehat{0}) = 1$ to determine all values of $\mu(\widehat{0}, I)$. Note that if I is a rank 1 flat, then $\mu(\widehat{0}, I) = \widetilde{\chi}(\emptyset) = -1$. The Möbius function can be read from the geometry of $|\Delta_{\mathbb{M}}|$ once we have chosen σ'_I since $\text{lk}_{\sigma'_I}(\Delta_{\mathbb{M}}) = \pi'_I(\text{Star}_{\Delta_{\mathbb{M}}}(\sigma'_I)) \cap S(N/N_{\sigma'_I})$, where $\pi'_I : N \rightarrow N/N_{\sigma'_I}$ and $S(N/N_{\sigma'_I})$ is the unit sphere in $N/N_{\sigma'_I}$.

Recall that the characteristic polynomial of \mathbb{M} is given by

$$\chi_{\mathbb{M}}(q) = \sum_{I \in P_{\mathbb{M}}} \mu(\widehat{0}, I) q^{d-r(I)}.$$

Consequently,

$$\chi_{\mathbb{M}}(q) = 1 - \sum_{r(I)=1} q + \sum_{r(I) \geq 2} \widetilde{\chi}(\text{lk}_{\sigma'_I}(\Delta_{\mathbb{M}})) q^{d-\dim(\sigma'_I)}.$$

We have to make use of the projective motivic version of Theorem 5.2 of [27].

Lemma 7.5. *The class of $[X^\circ]$ in $K_0(\text{Var}_{\mathbb{C}})$ is given by*

$$[X^\circ] = \frac{\mathbb{L} \chi_{\mathbb{M}}(\mathbb{L}) - \chi_{\mathbb{M}}(1)}{\mathbb{L} - 1}.$$

Proof. From $[\mathbb{A}^n] = \mathbb{L}^n$, we have

$$E(\mathbb{P}^n) = 1 + \mathbb{L} + \cdots + \mathbb{L}^n = \frac{\mathbb{L}^{n+1} - 1}{\mathbb{L} - 1}.$$

Since X° is expressed motivically as $\sum_{I \in P_{\mathbb{M}}} \mu(\widehat{0}, I) [X \cap H_I]$,

$$(\mathbb{L} - 1)[X^\circ] = \sum_{I \in P_{\mathbb{M}}} \mu(\widehat{0}, I) ((\mathbb{L})^{d-r(I)+1} - 1) = \mathbb{L} \chi_{\mathbb{M}}(\mathbb{L}) - \chi_{\mathbb{M}}(1).$$

\square

Example 7.6. Let us consider a rank 2 matroid on $E = \{0, 1, \dots, n\}$. Let I_1, \dots, I_s be its rank 1 flats. By Lemma 7.4, we know

$$\mu(\widehat{0}, \widehat{0}) = 1, \quad \mu(\widehat{0}, I_k) = -1.$$

Consequently,

$$\chi_{\mathbb{M}}(q) = q - s.$$

It follows that

$$[X^\circ] = \frac{\mathbb{L}(\mathbb{L} - s) - (1 - s)}{\mathbb{L} - 1} = \mathbb{L} - s + 1$$

Alternatively, we know that $\Delta_{\mathbb{M}}$ is the tropicalization of the complement of s points in \mathbb{P}^1 . It follows that $[X^\circ] = \mathbb{L} - s + 1$.

We now globalize the notion to tropical varieties that locally look like matroid fans.

Definition 7.7. A tropicalization $\text{Trop}(X^\circ)$ is said to be *matroidal with respect to a rational polyhedral structure Σ on $\text{Trop}(X^\circ)$* if

- (1) Every top-dimensional cell of $\text{Trop}(X^\circ)$ has multiplicity 1.
- (2) For every face F of $\text{Trop}(X^\circ)$, the star quotient $\text{Star}_{\text{Trop}(X^\circ)}(F)/N_F$ has the same underlying set as some matroid fan $\Delta_{\mathbb{M}_F}$ for some choice of integral basis e_1, \dots, e_l of N/N_F .

Definition 7.8. A tropicalization $\text{Trop}(X^\circ)$ is said to be *matroidal* if it is matroidal with respect to some Σ .

Note that we have $e_0 = -e_1 - \dots - e_l$ in N/N_F . We do not require the choice of e_0, \dots, e_l to be global so \mathbb{M}_F is not necessarily uniquely defined. Nor do we require that there is a polyhedral structure on $\text{Trop}(X^\circ)$ inducing the matroid fan structure on the stars of cells. This is done by Allermann [2] in developing intersection theory on matroidal tropical varieties. His definition is also different from ours because his tropical varieties are locally modeled on the uniform matroid. Because our results are independent of the polyhedral structure on $\text{Trop}(X^\circ)$ we do not require this. However, to get finer data about X from $\text{Trop}(X^\circ)$, doing so may be necessary. Examples of matroidal tropical varieties include planar trivalent curves all of whose vertices have multiplicity 1 (in the sense of [21, Definition 2.16]) and tropical hypersurfaces corresponding to a Newton subdivision all of whose cells are unimodular simplices.

Lemma 7.9. *Suppose $\text{Trop}(X^\circ)$ is matroidal with respect to Σ . If Σ' is a refinement of Σ , then $\text{Trop}(X^\circ)$ is matroidal with respect to Σ' .*

Proof. Let F' be a cell in Σ' whose relative interior is contained in the relative interior of a cell F of Σ . Then, $\text{Star}_{\text{Trop}(X^\circ)}(F') = \text{Star}_{\text{Trop}(X^\circ)}(F)$ and

$$\text{Star}_{\text{Trop}(X^\circ)}(F)/(N_F)_{\mathbb{R}} = (\text{Star}_{\text{Trop}(X^\circ)}(F')/(N_{F'})_{\mathbb{R}}) / ((N_F/N_{F'})_{\mathbb{R}}).$$

Suppose that \mathbb{M} is the matroid fan of $\{0, \dots, n - \dim(F)\}$ associated to the above fan with respect to a basis $e_1, \dots, e_{n-\dim(F)}$. Consider the short exact sequence of lattices

$$0 \rightarrow N_F/N_{F'} \rightarrow N/N_{F'} \rightarrow N/N_F \rightarrow 0.$$

Pick a splitting $j : N/N_F \rightarrow N/N_{F'}$ and let $e'_1, \dots, e'_{n-\dim(F)}$ be the image of the basis under this splitting. Set $l = \dim(F) - \dim(F')$, and let f_1, \dots, f_l be a basis for $N_F/N_{F'}$. Let G_1, \dots, G_l be copies of the unique rank 1 matroid on 1 element, and define \mathbb{M}' be the matroid on $n - \dim(F') + 1$ elements given by

$$\mathbb{M}' = \mathbb{M} \sqcup G_1 \sqcup \dots \sqcup G_l.$$

It is straightforward to verify that the underlying set of $\Delta_{\mathbb{M}'}$ with respect to the basis $\{e'_1, \dots, e'_{n-\dim(F)}, f_1, \dots, f_l\}$ is

$$j_{\mathbb{R}}(|\Delta_{\mathbb{M}}|) + (N_F/N_{F'})_{\mathbb{R}} = \text{Star}_{\text{Trop}(X^\circ)}(F')/(N_{F'})_{\mathbb{R}}.$$

□

Proposition 7.10. *If $\text{Trop}(X^\circ)$ is a matroidal tropical variety, then X° is schön. Consequently, X° is smooth.*

Proof. We first show that every initial degeneration of X° is smooth. If $w \in \text{Trop}(X^\circ)$ is the relative interior of a cell F of Σ , $\text{Star}_{\text{Trop}(X^\circ)}(F)/(N_F)_\mathbb{R}$ is a matroid fan. Now $\text{in}_w X^\circ$ is T_F -invariant and we have

$$|\text{Trop}(\text{in}_w X^\circ/T_F)| = |\text{Trop}(\text{in}_w X^\circ)|/(N_F)_\mathbb{R} = \text{Star}_{\text{Trop}(X^\circ)}(F)/(N_F)_\mathbb{R}.$$

By Proposition 7.2, $(\text{in}_w X^\circ)/T_F$ is a hyperplane complement and $\text{in}_w X^\circ$ is smooth. It follows from Proposition 2.7 that X is schön. Consequently, by Lemma 2.9, X° is smooth. \square

Matroidal tropical varieties may therefore be thought of as having a very strong form of classical smoothness. In general, even if X° is smooth there may be singular X'° with $\text{Trop}(X^\circ) = \text{Trop}(X'^\circ)$. A matroidal tropical variety, however, has no singular lift. One could think in analogy with tropical general position of points: every classical lift of a set of tropical points in general position is in general position.

Since X° is schön, by Proposition 2.6, $(X, \mathbb{P}(\Sigma))$ is a tropical pair for any choice of rational polyhedral structure Σ on $\text{Trop}(X^\circ)$. For matroidal tropical varieties, there is no difference between the parameterizing complex Γ_{X° and $\text{Trop}(X^\circ)$:

Lemma 7.11. *Let $\text{Trop}(X^\circ)$ be a matroidal tropical variety. Then the natural parameterizing map $p : \Gamma_{X^\circ} \rightarrow \text{Trop}(X^\circ)$ is a homeomorphism.*

Proof. Let \mathbb{P} be a toric scheme such that (X°, \mathbb{P}) is a normal crossings pair. Put the polyhedral structure on $\text{Trop}(X^\circ)$ induced from Σ . Each cell of $\Gamma_{(X^\circ, \mathbb{P})}$ is of the form (F, Y) where F is a cell of $\text{Trop}(X^\circ)$ and Y is a component of X_F° . Since each X_F° is a linear space, it follows that there is only one cell of $\Gamma_{(X^\circ, \mathbb{P})}$ lying above each cell of $\text{Trop}(X^\circ)$. Consequently, p is an isomorphism of polyhedral complexes, and hence a homeomorphism. \square

Now, $\chi_{\mathbb{M}_F}(q)$ can be reconstructed from the geometry of $|\text{Trop}(X^\circ)|$ by looking at the star quotient of F and applying the methods above.

By Lemma 7.5, we have the following:

Corollary 7.12. *Let Σ be a polyhedral structure on $\text{Trop}(X^\circ)$ with recession fan Δ , and suppose that $\text{Trop}(X^\circ)$ is a matroidal tropical variety. For F a cell in $\text{Trop}(X^\circ)$, let \mathbb{M}_F be the matroid associated to its star quotient. The tropical motivic nearby fiber of X_∞ is given by*

$$\psi_{(X^\circ, \Delta)} = - \sum_{F \in \Sigma} (\mathbb{L}\chi_{\mathbb{M}_F}(\mathbb{L}) - \chi_{\mathbb{M}_F}(1))(1 - \mathbb{L})^{\dim(F) - \dim(\tau_F) - 1}$$

Corollary 7.13. *With the notation as above, the Euler characteristic of the generic fiber X_{gen} is given by*

$$e(X_{\text{gen}}) = \sum_{\substack{F \in \Sigma \\ \dim(F) = \dim(\tau_F)}} (\chi_{\mathbb{M}_F}(1) + \chi'_{\mathbb{M}_F}(1)),$$

where $\chi'_{\mathbb{M}_F}(q)$ denotes the derivative of $\chi_{\mathbb{M}_F}(q)$.

Proof. By Corollary 5.2,

$$e(X_{\text{gen}}) = \sum_{\substack{F \in \Sigma \\ \dim F = \dim \tau_F}} e(X_F^\circ).$$

Now, $e(X_F^\circ)$ is determined by specializing $\mathbb{L} = 1$ in

$$[X_F^\circ] = \frac{\mathbb{L}\chi_{\mathbb{M}_F}(\mathbb{L}) - \chi_{\mathbb{M}_F}(1)}{\mathbb{L} - 1}.$$

By writing the numerator as a Taylor polynomial in $(\mathbb{L} - 1)$, we see that the Euler characteristic is $\chi_{\mathbb{M}_F}(1) + \chi'_{\mathbb{M}_F}(1)$. \square

Example 7.14. Let X° be a curve such that $\text{Trop}(X^\circ)$ is a matroidal tropical variety. Let Σ be a graph structure on $\text{Trop}(X^\circ)$. Then X corresponds to a family of curves degenerating to a union of rational curves. Each vertex v of Σ contributes $(\mathbb{L} - E(v) + 1)$ to the tropical motivic nearby fiber where $E(v)$ is the number of edges containing v . Each bounded edge contributes $-\mathbb{L} + 1$ while each unbounded edge contributes 1. Let V, B, U denote the number of vertices, bounded edges, and unbounded edges, respectively. Then,

$$\psi_{(X^\circ, \Delta)} = \left(\sum_v (\mathbb{L} - E(v) + 1) \right) + B(-\mathbb{L} + 1) + U = \mathbb{L}(v - B) + (v - B) = (\mathbb{L} + 1)\chi(\Sigma).$$

Specializing to $\mathbb{L} = 1$, we get $e(X_{\text{gen}}) = 2\chi(\Sigma)$, and hence X_{gen} is a smooth curve of genus $h^1(\Sigma)$.

8. OPEN PROBLEMS

We briefly mention some open problems and directions for further research.

- (1) Can one give a geometric interpretation of the tropical motivic nearby fiber $\psi_{(X^\circ, \Delta)}$ when (X°, \mathbb{P}) is a tropical pair, but X° is not necessarily schön? What about its evaluation $e(\psi_{(X^\circ, \Delta)})$ under the specialization $K_0(\text{Var}_{\mathbb{C}}) \rightarrow \mathbb{Z}$ which takes the class of a variety V to its Euler characteristic $e(V)$?
- (2) To what extent can one relax the condition of ‘smoothness’ and replace it with ‘orbifold singularities’? More specifically, can one associate a limit mixed Hodge structure to a family $f : X \rightarrow \mathbb{D}^*$ over the punctured disk in which the fibers have at worst orbifold singularities? Can one compute a ‘motivic nearby fiber’ given a semi-stable degeneration in which the central fiber is reduced and has ‘orbifold normal crossings’?
- (3) When $\text{Trop}(X^\circ)$ is matroidal, can one use Proposition 7.2 to explicitly write down all terms and maps in the corresponding Steenbrink spectral sequence [38, Corollary 4.20], and hence deduce a reasonable combinatorial formula for the corresponding limit mixed Hodge numbers? Can one give interesting examples of matroidal tropical varieties which are not curves or hypersurfaces?
- (4) What is the combinatorial significance of property (2) of the logarithm N of the monodromy map when X° is a schön hypersurface? That is, what is the significance of the fact that the sequences $\{h^{p+i, i}(H^{d-1}(X_\infty)) \mid 0 \leq i \leq d-1-p\}$ are symmetric and unimodal for $0 \leq p \leq d-1$?

APPENDIX A. THE HODGE-DELIBE POLYNOMIAL OF A NON-DEGENERATE HYPERSURFACE OF A TORUS

The goal of this section is to state a formula for the Hodge-Deligne polynomial of a non-degenerate hypersurface Z of a torus with Newton polytope P , as it appears in [7]. Throughout, P is a $(d+1)$ -dimensional lattice polytope in a lattice M .

If B is a finite poset, then the Möbius function $\mu_B : B \times B \rightarrow \mathbb{Z}$ is defined recursively as follows,

$$\mu_B(x, y) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{if } x > y \\ -\sum_{x < z \leq y} \mu_B(z, y) = -\sum_{x \leq z < y} \mu_B(x, z) & \text{if } x < y \end{cases}.$$

For any pair $z \leq x$ in B , we can consider the interval $[z, x] = \{y \in B \mid z \leq y \leq x\}$. Suppose that B has a minimal element 0 and a maximal element 1, and that every maximal chain in B has the same length. The *rank* $\rho(x)$ of an element x in B is equal to the length of a maximal chain in $[0, x]$, and the rank of B is $\rho(1)$. In this case, we say that B is *Eulerian* if $\mu_B(x, y) = (-1)^{\rho(x) - \rho(y)}$ for $x \leq y$.

Remark A.1. Alternatively, one verifies that B is Eulerian if and only if every interval of non-zero length contains as many elements of even rank as odd rank.

Example A.2. The poset of faces of a polytope P (including the empty face) is an Eulerian poset under inclusion. If we consider the empty face to have dimension -1 , then $\rho(Q) = \dim Q + 1$, for any face Q of P .

Example A.3. The Boolean algebra on r elements consists of all subsets of a set of cardinality r and forms an Eulerian poset under inclusion.

The G -polynomial of an Eulerian poset was introduced by Stanley.

Definition A.4. [37] If B is an Eulerian poset of rank n , then

$$G(B, t) = \begin{cases} 1 & \text{if } n = 0 \\ -\tau_{<n/2}(\sum_{0 < x \leq 1} (t-1)^{\rho(x)} G([x, 1], t)) & \text{if } n > 0 \end{cases},$$

where $\tau_{<n/2}$ is the truncation map which takes a polynomial and associates all the terms of degree less than $n/2$.

Example A.5. If B is the Boolean algebra on r elements, then one verifies that $G(B, t) = 1$.

If Q is a face of P , then recall that the *Ehrhart polynomial* $f_Q(m)$ of Q is defined by $f_Q(m) = \#(mQ \cap M)$, for each positive integer m , and its generating series has the form

$$\sum_{m \geq 0} f_Q(m) t^m = \frac{h_Q^*(t)}{(1-t)^{\dim Q + 1}},$$

where $h_Q^*(t)$ is a polynomial of degree at most $\dim Q$ with non-negative integer coefficients (see, for example, [5]). If Q is the empty face of P , then we set $h_Q^*(t) = 1$.

Definition A.6. [7] If Q is a (possibly empty) face of P , then

$$\tilde{S}(Q, t) = \sum_{Q' \subseteq Q} (-1)^{\dim Q - \dim Q'} h_{Q'}^*(t) G([Q', Q], t).$$

We can now present Batyrev and Borisov's formula for $E(Z; u, v)$ as stated in [7]. We remark that an alternative formula was earlier obtained by Khovanskiĭ in unpublished work. If B is an Eulerian poset, then let B^* denote the Eulerian poset with all orderings between elements reversed.

Theorem A.7. [3, 7] *If Z is a non-degenerate hypersurface with respect to a lattice polytope P of dimension $d + 1$, then*

$$E(Z; u, v) = (1/uv)[(uv - 1)^{d+1} + (-1)^d \sum_{Q \subseteq P} u^{\dim Q+1} \widetilde{S}(Q, u^{-1}v) G([Q, P]^*, uv)].$$

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